

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5725492
<b>Application Number:</b>	09844198
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2147
<b>Title of Invention:</b>	COMPUTER-IMPLEMENTED METHOD AND APPARATUS FOR ADJUSTING THE COST BASIS OF A SECURITY
<b>First Named Inventor/Applicant Name:</b>	Nicco Willis
<b>Customer Number:</b>	39602
<b>Filer:</b>	Daniel J. Noblitt/Sally Hartway
<b>Filer Authorized By:</b>	Daniel J. Noblitt
<b>Attorney Docket Number:</b>	10060.0100
<b>Receipt Date:</b>	17-JUL-2009
<b>Filing Date:</b>	27-APR-2001
<b>Time Stamp:</b>	16:21:24
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1055
RAM confirmation Number	2357
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)

1		100600100_2009-07-17_312_amendment.pdf	847162 518db9ec9f1b55f74300fed594c92477f3e d9a4	yes	23
<b>Multipart Description/PDF files in .zip description</b>					
<b>Document Description</b>		<b>Start</b>		<b>End</b>	
Amendment after Notice of Allowance (Rule 312)		1		1	
Specification		2		14	
Claims		15		22	
	Abstract		23		23

**Warnings:****Information:**

2	Application Data Sheet	100600100_2009-07-17_ADS.pdf	206227 ef60fd02cb65fedfb93e5dd493febfad1d0 6a21	no	3
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**Warnings:****Information:**

This is not an USPTO supplied ADS fillable form

3	Issue Fee Payment (PTO-85B)	100600100_2009-07-17_issue_fee_transmittal.pdf	89441 a795d0964340f09ff9afab3d5ca140f5ab9f1 83	no	1
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**Warnings:****Information:**

4	Fee Worksheet (PTO-875)	fee-info.pdf	32059 7c58b12268d44c21c967c3247b68585cd1f4 6277	no	2
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**Warnings:****Information:**

<b>Total Files Size (in bytes):</b>	1174889
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.